

Docket: 1267

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

James F. McGuckin, Jr., et al

Serial No:

10/805,796

Examiner:

Unknown

Filed:

March 22, 2004

Group Art Unit:

3731

For:

Vein Filter

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

In accordance with Applicant's duty of disclosure under 37 C.F.R §1.56 and in compliance with 37 C.F.R. §1.97 and 37 C.F.R. §1.98, Applicants submit for the Examiner's consideration the enclosed references cited on accompanying Form PTO-1449. Applicants respectfully request that the references submitted with Form PTO-1449 be considered during examination of the above-identified application and made of record therein.

The citation of the listed item(s) is not a representation that it constitutes a complete or exhaustive listing of prior art or that it constitutes prior art.

As set forth in 37 C.F.R. §1.97 (c), to the best of Applicant(s) knowledge, this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. No fee is believed required. However, any fee deficiency can be charged to Deposit Account No. <u>501567</u>.

Dated: 9/20/04

Neil D. Gershon

Registration No. 32,225 Attorney for the Applicants

Rex Medical, L.P. 2023 Summer St. Suite 2 Stamford, CT. 06905



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CERTIFICATE OF MAILING

Date of Deposit:	9	21/04		
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I hereby certify that the following:

- [X] This Certificate of Mailing
- [X] Information Disclosure Statement
- [X] PTO Form 1449 & copy of cited references
- [X] Return postcard

are being deposited with the United States Postal Service first class mail on the Date of Deposit indicated above in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

MSL

Neil Gershon Rex Medical 2023 Summer Street Suite 2 Stamford, CT 06905 (203) 348-0377

SEP 2 4 2004 Sheet 1 of 12Form PTO-1949 U.S. DEPARTMENT OF ATTY. DOCKET NO. SERIAL NO. COMMERCE PATENT AND TRADEMARK OFFICE 1267 10/805,796 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) APPLICANT James F. McGuckin, Jr., et al FILING DATE GROUP Art Unit: March 22, 2004 3731 U.S. PATENT DOCUMENT EXAMINER FILING DATE INITIALS DOCUMENT DATE NAME CLASS SUBCLASS TF NUMBER APPROPRIATE 5 2 7 4 7 4/21/76 Kimmel, Jr. 4 2 5 9 0 8 1/17/84 Simon 4 4 9 4 5 3 1 1/22/85 Gianturco 5 1 2 3 3 Balko, et al 8 4/23/85 6 1 9 2 4 6 10/28/86 Molgaard-Nielsen, et al 6 8 8 5 5 3 8/25/87 Metals 7 2 7 8 7 3/1/88 Mobin-Uddin 11/1/88 Lebigot 7 9 3 3 12/27/88 8 Palmaz 4 8 7 1 6 0 0 4/4/89 Herms, et al 4 8 0 5 5/23/89 Palestrant FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT DATE COUNTRY SUBCLASS CLASS NUMBER YES NO 2 1 1 8 1 2 2/14/02 PCT 3 1 2 7 2 3 7/1993 PCT OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) B. Braun Medical, Inc. Vena Tech THM Vena Cava Filters, 2/00. Gianturco-Roehm, Bird's Nest® Vena Cava Filter Cordis Corporation, TrapEase™ Permanent Vena Cava Filter, "A Small, Easy and Verstaile System for Optimal Pulmonary Emboli Prevention", 2000 (4 pages) EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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